

Erdogan 12-42-7

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**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

Patent Application

Inventor(s) Turan Erdogan
Thomas Andrew Strasser
Paul Stephen Westbrook

Case 12-42-7 **Conf. No.** 5151

Serial No. 09/781,857 **Group Art Unit** 2633

Filing Date February 12, 2001

Examiner Shi K. Li

Title In-Line Polarization Monitoring and Control in Lightwave Communication Systems

**COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313**

SIR:

AMENDMENT

In response to the Office Action dated December 28, 2004 (application Paper No. not shown), please amend the above-identified application as follows:

PO BOX 556
SPRINGTOWN, PA 18081
PHONE NO. 610-346-7112
FAX NO. 610-346-8189
EMAIL: WENDYKOBA@USA.NET

WENDY W. KOBA,
ESQ.

Fax

Company: USPTO From: Wendy W. Koba

Attention: Shi K. Li Pages including cover sheet: 10

Fax No.: 703-872-9306 Date : 03/02/2005

Re: Serial No. 09/781,857

Urgent Please review Please Comment Please Reply For your information

Case Name: Erdogan 12-42-7
Serial Number: 09/781,857
Filing Date: February 12, 2001
Examiner: Shi K. LI
Title: In-Line Polarization Monitoring and Control in Lighthouse Communication System

In response to a non-final office action dated December 28, 2004, attached please find the following:

1. Transmittal Form (1 page)
2. Certificate of Transmission under 37 CFR 1.8 (1 page)
3. Claims As Amended (1 page)
4. Amendment (6 pages)

Respectfully submitted,

Wendy W. Koba
Wendy W. Koba, Esq.

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB D651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | |
|----------------------------------------------------------|--|------------------------------------------|
| TRANSMITTAL FORM | | Application Number <i>09/781,857</i> |
| (To be used for all correspondence after initial filing) | | Filing Date <i>February 12, 2001</i> |
| | | First Named Inventor <i>Erdogan</i> |
| | | Art Unit <i>2633</i> |
| | | Examiner Name <i>Jhi K. Li</i> |
| Total Number of Pages in This Submission | | Attorney Docket Number <i>12-42-7</i> |

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| ENCLOSURES (Check all that apply) | | |
|----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1. Fax Cover Sheet |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | 2. Claims as Amended |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | Remarks |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|----------------------------|----------|--------------|
| Firm Name | <i>Wendy W. Koba, Esq.</i> | | |
| Signature | <i>Wendy W. Koba</i> | | |
| Printed name | <i>Wendy W. Koba</i> | | |
| Date | <i>3/2/2005</i> | Reg. No. | <i>30509</i> |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|----------------------|-----------------|--|
| Signature | <i>Wendy W. Koba</i> | | |
| Typed or printed name | <i>Wendy W. Koba</i> | | |
| | Date | <i>3/2/2005</i> | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTC/SB/97 (09-04)

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Certificate of Transmission under 37 CFR 1.8

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on 3/2/2005
Date

Wendy W. Koba
Signature

Wendy W. Koba
Typed or printed name of person signing Certificate

30509

Registration Number, if applicable

610-346-7112

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

1. Fax Cover Sheet
2. Claims As Amended
3. Amendment

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Offce, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

CASE Erdogan 1a-4a-7

SERIAL NO. 09/781,857

GROUP ART UNIT 2633

FILED February 12, 2001

EXAMINER Shi K. Li

TITLE In-Line Polarization Monitoring and Control in Lightwave
Communication System

COMMISSIONER OF PATENTS

P.O. Box 1450
Alexandria, VA 22313-1450

SIR

Enclosed is an amendment in the above-identified application:

[✓] No additional fee is required, as shown below.

CLAIMS AS AMENDED

| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDITIONAL FEE |
|--------------------------------------------------------------------------------------------|-------------------------------------------|-------|---------------------------------------------|------------------|---------|-------------------|
| TOTAL CLAIMS FOR FEE PURPOSES | 11 | MINUS | 18 | 0 | X \$50 | 0 |
| INDEPENDENT CLAIMS | 2 | MINUS | 3 | 0 | X \$200 | 0 |
| MULTIPLE DEPENDENT CLAIM(S) First presented with this amendment, if applicable | | | | | X\$360 | |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | | \$ 0 |

Please address all correspondence to Wendy W. Koba, P.O. Box 556, Springtown, PA 18081-0556.

Respectfully,

Wendy W. Koba
Wendy W. Koba
Reg. No. 30509
Attorney for Applicant(s)
610-346-7112

Date: 3/2/2005